

根據《證券及期貨條例》下的持牌法團(CE 編號 API164)及香港聯合交易所有限公司參與者
Licensed Corporation under the Securities & Futures Ordinance (CE No. API164) and Exchange
Participant of the Stock Exchange of Hong Kong Limited

Request for change of Account Executive 轉換經紀代表通知

Account Name 客戶名稱 : _____

Account Number 戶口號碼 : _____

I/We hereby request your company to change my/our Account Executive Manager from

AE Name _____ (AE Code: _____) to AE Name _____ (AE Code: _____)

to serve my/our above mentioned account(s) with effect from _____ [Date]

本人/吾等現要求貴公司由 _____ [生效日期] 起，服務本人/吾等的上述戶口之經紀代表，

由經紀姓名 _____ (經紀編號: _____) 轉換至經紀姓名 _____

(經紀編號: _____)。

Reason for the change 轉換原因:

I/We understand my/our about request is subject to the acceptance of your company.

本人/吾等明白上述要求須待貴公司接納方可生效。

S.V

Signature(s) of account holder(s) 客戶簽署

Date 日期: _____

Consent by Transferor 舊經紀同意轉出

Accept by Transferee 新經紀同意接納

AE Signature: _____

AE Signature: _____

AE Name: _____

AE Name: _____

For Official Use Only (只供內部使用)

Information Inputted By 資料輸入人員:

Name 姓名:

Date 日期:

Inputted Verified By 輸入資料覆核人員:

Name 姓名:

Date 日期:

Approved By R.O. 批核負責人員

Name 姓名:

Date 日期: